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PATENT
Attorney Docket No.: 016976-000220US
#5
J. M. L.

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By: John Daniel Ulmer

JC971 U.S. PTO
10/043689
01/10/02


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Peter P. Lee

Application No.:

Filed: January 10, 2002

For: A METHOD FOR IMPROVING
THE HALF-LIFE OF SOLUBLE VIRAL
RECEPTORS ON MUCOSAL
MEMBRANES

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/549,261, filed April 14, 2000 (Attorney Docket No. 16976-000210US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Peter P. Lee
Application No.:
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PATENT

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Kenneth A. Weber
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SF 1306507 v1

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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1 of 2

Application Number

Filing Date

First Named Inventor

Group Art Unit

Examiner Name

Attorney Docket Number

016976-000220US

JC971 U.S. PTO
10/043689

01/10/02

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	5,348,867			Georgiou, et al.	09/20/1994	
AB	5,531,988			Paul	07/02/1996	
AC	5,733,540			Lee	03/31/1998	
AD	6,074,636			Nichols	06/13/2000	
AE						
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ³	Number ⁴	Kind Code ⁵ (if known)			
	BA	WO	93/18163		THE ROCKEFELLER UNIVERSITY	09-16-1993	
	BB						
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Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

¹ Unique citation designation number ² See attached Kinds of U.S. Patent Documents ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached

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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	
Sheet	2	of	2	Filing Date	January 10, 2002
				First Named Inventor	Lee, Peter P.
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	016976-000220US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
	01	Marshall, E., "Gene Therapy's Growing Pains," <i>Science</i> , 269:1050-1055 (25 August 1995).		
	02	Lidbeck, A., <i>et al.</i> , "Lactobacilli in Relation to Human Ecology and Antimicrobial Therapy," 1991 Bioscience Ediprint, Inc., <i>Int. J. Tiss. Reac. XIII</i> (2):115-122 (1991).		
	03	Bouhnik, Y., <i>et al.</i> , "Fecal Recovery in Humans of Viable <i>Bifidobacterium</i> sp Ingested in Fermented Milk," 1992 by the American Gastroenterological Association, <i>Gastroenterology</i> , 102:875-878 (1992).		
	04	Pochart, P., <i>et al.</i> , "Survival of bifidobacteria ingested via fermented milk during their passage through the human small intestine: an in vivo study using intestinal perfusion ¹⁻⁴ ," 1992 American Society for Clinical Nutrition, <i>Am J Clin Nutr</i> 55:78-80 (1992).		
	05	Laulund, S., "Commercial Aspects of Formulation, Production and Marketing of Probiotic Products," Human Health: The Contribution of Microorganisms Formulation, Production and Marketing of Probiotic Products, Chapter 10, 159-173 (1994).		
	06	Eko <i>et al.</i> , "New strategies for combination vaccines based on the extended recombinant bacterial ghost system," <i>Vaccine</i> . Vol. 17, pp. 1643-1649 (March 1999)		
	07	Baba <i>et al.</i> , "Target cell specificity of a bacteriocin molecule: a C-terminal signal directs lysostaphin to the cell wall of <i>Staphylococcus aureus</i> ." <i>The EMBO Journal</i> . Vol. 15 No. 18, pp. 4789-4797 (1996).		
	08	Braun <i>et al.</i> , "The InIB protein of <i>Listeria monocytogenes</i> is sufficient to promote entry into mammalian cells." <i>Molecular Microbiology</i> . Vol. 27. No. 5, pp. 1077-1087 (1998).		
	09			
	10			
	11			

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